

7-22-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

BENEDICT J. COSTELLO, PETER T. JONES, HO-SHANG LEE

Assignee:

DICON FIBEROPTICS, INC.

Title:

ELECTROSTATIC CONTROL OF MICRO-OPTICAL COMPONENTS

Filing Date:

February 20, 2002

Group Art Unit: 2814

Serial No.:

10/081,496

Examiner:

Unknown

Docket No.:

M-12070 US

San Francisco, California
July 18, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED
APPLICATION PAPERS - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Corrected Application Papers - Filing Date Granted" mailed by the United States Patent and Trademark Office on March 18, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Submission of eleven (11) sheets of formal drawings.
2. Copy of Notice to File Corrected Application Papers - Filing Date Granted.
3. Petition for Extension of Time to extend the response period two months to July 18, 2002.
4. Notification Under 37 CFR 1.27(g)(2) of Loss of Entitlement to Small Entity Status.

LAW OFFICES OF
SKJERVEN MORRILL LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 217-6000
FAX (415) 434-0646

Serial No. 10/081,496



10081496-071802

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- | | | |
|----|-------------------------|-----------|
| 1. | Two-month extension fee | \$400.00 |
| 2. | TOTAL FEES: | \$ 400.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of February 20, 2002. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EV029353888US

Respectfully submitted,

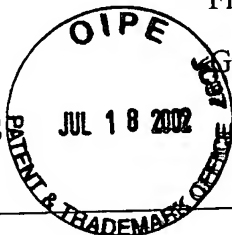
P. Mikhail
Peter G. Mikhail
Reg. No. 46,930

LAW OFFICES OF
SKJERVEN MORRILL LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 217-6000
FAX (415) 434-0646

10081496-0718002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BENEDICT J. COSTELLO, PETER T. JONES, HO-SHANG LEE
Assignee: DICON FIBEROPTICS, INC.
Title: ELECTROSTATIC CONTROL OF MICRO-OPTICAL COMPONENTS
Serial No.: 10/081,496 Filing Date: February 20, 2002
Examiner: Unknown Group Art Unit: 2814
Docket No.: M-12070 US



San Francisco, California
July 18, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

**NOTIFICATION UNDER 37 C.F.R. § 1.27(g)(2) OF
LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**

Dear Sir:

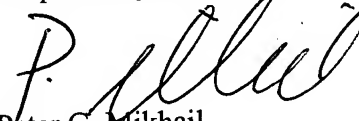
Pursuant to 37 C.F.R. § 1.27(g)(2), Applicants hereby notify the U.S. Patent and Trademark Office that Applicants are no longer claiming small entity status for the above-cited patent application or patent.

If there is any question concerning this paper, please telephone the undersigned at (408) 453-9200.

EXPRESS MAIL LABEL NO:

EV029353888US

Respectfully submitted,


Peter G. Mikhail
Reg. No. 46,930

LAW OFFICES OF
SKJERNEN MORRILL LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 217-6000
FAX (415) 434-0646



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,496	02/20/2002	Benedict J. Costello	M-12070 US

Peter G. Mikhail
SKJERVEN MORRILL MacPHERSON LLP
28th Floor
Three Embarcadero Center
San Francisco, CA 94111



CONFIRMATION NO. 1499

FORMALITIES LETTER



OC000000007662682

Date Mailed: 03/18/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Margaret

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10081496-071802